#### §86.1817-08

in the generation and use of the credits

[65 FR 59971, Oct. 6, 2000, as amended at 71 FR 2830. Jan. 17, 2006]

# §86.1817-08 Complete heavy-duty vehicle averaging, trading, and banking program.

Section 86.1817-08 includes text that specifies requirements that differ from §86.1817-05. Where a paragraph in §86.1817-05 is identical and applicable to §86.1817-08, this may be indicated by specifying the corresponding paragraph and the statement "[Reserved]. For guidance see §86.1817-05."

- (a) through (o) [Reserved]. For guidance see §86.1817–05.
- (p) The following provisions apply for model year 2008 and later engines. These provisions apply instead of the provisions of paragraphs §86.1817-05 (a) through (o) to the extent that they are in conflict.
- (1) Manufacturers of Otto-cycle vehicles may participate in an NMHC averaging, banking and trading program to show compliance with the standards specified in \$86.1806-08. The generation and use of NMHC credits are subject to the same provisions in paragraphs \$86.1817-05 (a) through (o) that apply for  $NO_X$  credits, except as otherwise specified in this section.
- (2) NO<sub>X</sub> or NMHC (or NO<sub>X</sub> plus NMHC) credits may be exchanged between heavy-duty Otto-cycle test groups certified to the engine standards of subpart A of this part and heavy-duty Otto-cycle test groups certified to the chassis standards of this subpart, subject to an 0.8 discount factor (e.g., 100 grams of NO<sub>X</sub> credits generated from vehicles would be equivalent to 80 grams of NO<sub>X</sub> credits if they are used in the engine program of subpart A of this part, and vice versa). Credits that were previously discounted when they were banked according to §86.1817-05(c), are subject to an additional discount factor of 0.888 instead of the 0.8 discount factor otherwise required by this paragraph (p)(2). This results in a total discount of  $0.8 (0.9 \times 0.888 = 0.8)$ .
- (3) Credits are to be rounded to the nearest one-hundredth of a Megagram.
- (4) To calculate credits relative to the  $NO_X$  standards listed in §86.1816-08 (a)(1)(iv)(A) or (a)(2)(iv)(A) (0.2 or 0.4

grams per mile, respectively) express the standard and FEL to the nearest one-hundredth of a gram per mile prior to calculating the credits. Thus, either 0.20 or 0.40 should be used as the value for "Std".

- (5) Credits generated for 2008 and later model year test groups are not discounted (except as specified in §86.1817-05(c) and paragraph (p)(2) of this section), and do not expire.
- (6) For the purpose of using or generating credits during a phase-in of new standards, a manufacturer may elect to split a test group into two subgroups: one which uses credits and one which generates credits. The manufacturer must indicate in the application for certification that the test group is to be split, and may assign the numbers and configurations of vehicles within the respective subfamilies at any time prior to the submission of the end-ofyear report described in §86.1817-05 (i)(3). Manufacturers certifying a split test group may label all of the vehicles within that test group with the same FELs: either with a  $\bar{N}O_X$  FEL and an NMHC FEL, or with a single NO<sub>X</sub>+NMHC FEL. The FEL(s) on the label will apply for all SEA or other compliance testing.
- (7) Vehicles meeting all of the applicable standards of \$86.1816-08 prior to model year 2008 may generate NMHC credits for use by 2008 or later test groups. Credits are calculated according to \$86.1817-05(c), except that the applicable FEL cap listed in \$86.1816-08(a)(1)(ii)(B) or (2)(ii)(B) applies instead of "Std" (the applicable standard).

[66 FR 5192, Jan. 18, 2001]

### §§ 86.1818–86.1819 [Reserved]

## § 86.1820-01 Durability group determination.

This section applies to the grouping of vehicles into durability groups. Manufacturers shall divide their product line into durability groups based on the following criteria:

(a) The vehicles covered by a certification application shall be divided into groups of vehicles which are expected to have similar emission deterioration and emission component durability characteristics throughout their useful

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life. Manufacturers shall use good engineering judgment in dividing their vehicles into durability groups. Such groups of vehicles are defined as durability groups.

bility groups.
(b) To be included in the same durability group, vehicles must be identical in all the respects listed in paragraphs (b) (1) through (7) of this section:

(1) Combustion cycle (e.g., two stroke, four stroke, Otto cycle, diesel cycle).

(2) Engine type (e.g., piston, rotary, turbine, air cooled versus water cooled).

(3) Fuel used (e.g., gasoline, diesel, methanol, ethanol, CNG, LPG, flexible fuels).

(4) Basic fuel metering system (e.g., throttle body injection, port injection (including central port injection), carburetor, CNG mixer unit).

(5) Catalyst construction (for example, beads or monolith).

(6) Precious metal composition of the catalyst by the type of principal active material(s) used (e.g., platinum based oxidation catalyst, palladium based oxidation catalyst, platinum and rhodium three-way catalyst, palladium and rhodium three way catalyst, platinum and palladium and rhodium three way catalyst).

(7) The manufacturer must choose one of the following two criteria:

(i) Grouping statistic:

(A) Vehicles are grouped based upon the value of the grouping statistic determined using the following equation:

 $GS = [(Cat\ Vol)/(Disp)] \times Loading\ Rate$  Where:

GS = Grouping Statistic used to evaluate the range of precious metal loading rates and relative sizing of the catalysts compared to the engine displacement that are allowable within a durability group. The grouping statistic shall be rounded to a tenth of a gram/liter, in accordance with the Rounding-Off Method specified in ASTM E29-93a, Standard Practice for Using Significant Digits in Test Data to Determine Conformance with Specifications (incorporated by reference, see §86.1).

Cat Vol = Total volume of the catalyst(s) in liters.

Disp = Displacement of the engine in liters. Loading rate = The mass of total precious metal(s) in the catalyst (or the total mass of all precious metal(s) of all the catalysts if the vehicle is equipped with multiple catalysts) in grams divided by the total volume of the catalyst(s) in liters.

(B) Engine-emission control system combinations which have a grouping statistic which is either less than 25 percent of the largest grouping statistic value, or less than 0.2 g/liter (whichever allows the greater coverage of the durability group) shall be grouped into the same durability group.

(ii) The manufacturer may elect to use another procedure which results in at least as many durability groups as required using criteria in paragraph (b)(7)(i) of this section providing that only vehicles with similar emission deterioration or durability are combined into a single durability group.

(c) Where vehicles are of a type which cannot be divided into durability groups based on the criteria listed above (such as non-catalyst control system approaches), the Administrator will establish durability groups for those vehicles based upon the features most related to their exhaust emission deterioration characteristics.

(d) Manufacturers may further divide groups determined under paragraph (b) of this section provided the Administrator is notified of any such changes prior to or concurrently with the submission of the application for certification (preferably at an annual preview meeting scheduled before the manufacturer begins certification activities for the model year).

(e) Manufacturers may request the Administrator's approval to combine vehicles into a single durability group which would normally not be eligible to be in a single durability group. The petition should provide:

(1) Substantial evidence that all the vehicles in the larger grouping will have the same degree of emission deterioration;

(2) Evidence of equivalent component durability over the vehicle's useful life; and

(3) Evidence that the groups will result in sufficient In-Use Verification Program data, appropriate tracking in use, and clear liability for the Agency's recall program.